



Investments in Innovative Technologies

Pursuant to Section 524.901(11) of the Code of Iowa, a state bank shall request approval from the Superintendent of Banking to make an investment in innovative technologies. Innovative technology investments are limited to 5 percent of aggregate capital. This completed form is to be submitted to your bank analyst at least 30 days before any planned investment. Please contact the Iowa Division of Banking at (515) 281-4014 with any questions. Management will also need to contact their primary Federal regulator.

Bank Information

Bank Name:	Mailing Address:
FDIC Cert Number:	City, State, ZIP Code:
Bank Telephone Number:	Contact Person:
Contact Person Email:	Contact Person Telephone Number:

Overview of the Investment

Include or attach the following information:

- Name, amount, and description of the proposed equity investment.
- Note if the investment will be held directly by the bank or in a subsidiary.
- Provide calculations showing the investment(s) complies with the investment limitation noted in Section 524.901(11) of the Code of Iowa.
- Include board minutes noting discussion and approval of the investment.

Certification

The undersigned hereby certifies that the foregoing information in this form is accurate and complete. It is further certified that the undersigned is authorized to file this form for the bank.

Signature: _____ Date: _____
Name: _____
Title: _____