

Job Description
Iowa Division of Banking
Financial Services Examiner – Information Technology & Cybersecurity

Position Summary

As directed by the Regional Manager for IT Specialists, employee conducts and directs information technology (IT) and cybersecurity examinations of state regulated financial service providers and banks. When not supervising/directing the examination, the employee assists in IT and cybersecurity examinations. Work assignments are performed under the direction of the Regional Manager or his/her designee, a designated examiner-in-charge (regardless of grade level), or the central office. Work products submitted will be technically correct and require no significant changes.

Duties and Responsibilities

- Conducts the appraisal of IT and cybersecurity management and operations functions, audit quality, and an evaluation of management and the soundness of policies, procedures, and practices at entities regulated by the Division and third-party service providers, including entities with complex IT environments.
- Assists in the examination of IT and cybersecurity entities regulated by the Division and third-party service providers, including entities with complex IT environments, and may be responsible for segments of or the entire IT and cybersecurity portion of the overall examination scope.
- Researches and assists other examination staff in resolving issues encountered during examinations.
- Develops and recommends corrections of weaknesses and deficiencies in institution operations, management, policies, or other areas subject to criticism.
- Prepares and submits confidential reports of examination that render a complete, concise, and factual presentation of the adequacy of the institution's IT and cybersecurity systems.
- As directed by the Regional Manager, coordinates joint or concurrent examination activities with other regulatory agencies as circumstances dictate.
- Assists in on-the-job training of examiners of lesser experience.
- Maintains knowledge by keeping abreast of developments in IT and cybersecurity standards and practices in the financial services industry.
- Identifies and addresses risk factors and causes, unsafe and unsound practices, and violations of laws and regulations that have affected or may affect the condition and soundness of the financial institution or services provider.
- Provides feedback to the appropriate supervisory personnel on the performance of employees assigned to the employee at individual examinations.
- Serves on detail assignment to administrative office to perform other duties.
- Conducts meetings as warranted with regulated financial services officials and/or boards of directors to discuss the findings of the examination, discuss corrective programs, and obtain commitments for correction of deficiencies.
- Participates in the periodic review of examination procedures.

- Visits financial institutions and financial services providers for specific supervisory purposes.
- May be assigned to work in any part of the state or the central office.
- Performs other assignments as the needs of the Division of Banking dictate.
- Travel, including overnight, is required.

Knowledge, Skills, and Abilities

- Possesses the ability to understand IT infrastructures, current risks, and risk management practices.
- Possesses the ability to interact with financial institution IT staff members regarding network, hardware, software, vendor management, and risk management practices.

See the DAS Job Classification Description for Financial Services Examiner for information about additional competencies required and minimum qualifications: [Job Class Description - 00406 \(iowa.gov\)](#)