**AFFILIATE SHAREHOLDER LIST  
OF  
*(BANK NAME)*  
*(BANK CITY)*, IOWA**

Per Iowa Code Section 524.1101(4), an affiliate is any corporation including a bank holding company, trust, estate, association, or other similar organization which owns or controls, directly or indirectly, either a majority of the voting shares of a state bank or more than 50 percent of the number of shares voted for the election of directors of a state bank at the preceding election, controls in any manner the election of a majority of the directors of a state bank, or for the benefit of whose shareholders or members controlling all or substantially all of the outstanding voting shares of a state bank is held by trustees.

The following is a list of the shareholders, members, or other individuals possessing a beneficial interest of the affiliate, ***(holding company/other affiliate name)***, of the above-named bank as of ***(date)***.

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Shares Outstanding: |  | Common |  |  |  | Preferred |  |  |

| **NAME OF SHAREHOLDER** | **ADDRESS** | **# SHARES** | **% INTEREST** |
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I, ***(name)***, ***(title)*** of ***(holding company/other affiliate name)***, hereby certify that the foregoing list of shareholders, members, or other individuals possessing a beneficial interest in the affiliate is true and correct.

|  |  |
| --- | --- |
| Signature |  |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| State of ***(state)*** |  | ) | |  |
|  |  | ) | | SS |
| County of ***(county)*** |  | ) | |  |
|  |  | |  | | |

On ***(date of notary signing)***, before me, a notary public in and for said county and state, personally appeared ***(name)*** who executed the foregoing instrument and acknowledged that it was executed as a voluntary act and deed.

|  |  |  |
| --- | --- | --- |
| Notary Public Signature |  | ***(notary seal)*** |
|  |  |  |